

[SEE SIGNATURE PAGE FOR ATTORNEY
NAMES]

E-FILED 11/13/06

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

THE REGENTS OF THE UNIVERSITY OF
CALIFORNIA

Plaintiff,

v.

MICRO THERAPEUTICS, INC., a Delaware
corporation, and DENDRON GmbH

Defendants and Third Party
Plaintiffs,

v.

BOSTON SCIENTIFIC CORPORATION and
TARGET THERAPEUTICS, INC.

Third Party Defendants.

Case No: C03-05669 JW (RS)

**STIPULATED AMENDED PROTECTIVE
ORDER**

To facilitate production and receipt of information during discovery in the above-
referenced action, Plaintiff The Regents of the University of California ("Plaintiff"), Defendants
Micro Therapeutics, Inc. and Dendron GmbH (collectively "Micro Therapeutics" or "Defendants"),
and Third-Party Defendants Boston Scientific Corporation and Target Therapeutics, Inc.
(collectively "Boston Scientific" or "Third-Party Defendants") have agreed and stipulated, through
their respective counsel, to the entry of an order for the protection of trade secrets, private or other
/ / /

1 confidential research, development, or commercial information that may be produced or otherwise
2 disclosed by them during the course of this action.

3 Upon consideration of the record and proceedings herein and the stipulation of the parties:

4 **IT IS ORDERED THAT;**

5 1. “Confidential Information” shall mean and include any documents (whether in hard
6 copy or computer readable form), things, deposition testimony, responses to requests for
7 production, or other information provided in discovery in this action (“Discovery Material”), which
8 contain non-public, confidential or proprietary information, whether personal or business-related,
9 including trade secrets, know-how or proprietary data, business, financial or commercial
10 information, or medical information. Any party to this action, or a third-party producing Discovery
11 Material in response to a subpoena (“Designating Party”) may designate its Confidential
12 Information as CONFIDENTIAL. Confidential Information shall not include any Discovery
13 Material that:

14 a. Has been or becomes lawfully in the possession of the party receiving the
15 same (“Receiving Party”) through communications other than production or disclosure in this
16 action; or

17 b. Has been or becomes part of the public domain by publication or otherwise
18 and not due to any unauthorized act or omission on the part of the Receiving Party.

19 2. The designation of Discovery Material in the form of documents, responses to
20 admissions and interrogatories, or other tangible materials (including, without limitation, CD-
21 ROMs and tapes) other than depositions or other pretrial testimony as CONFIDENTIAL shall be
22 made by conspicuously affixing the appropriate legend on each page (or in the case of computer
23 medium on the medium and its label and/or cover) to which the designation applies. To the extent
24 practical, the CONFIDENTIAL designation shall be placed near the Bates number. If a document
25 has more than one designation, the more restrictive designation applies.

26 3. All designations of Discovery Materials as CONFIDENTIAL shall be made in good
27 faith by the Designating Party and made at the time of disclosure, production, or tender to the
28 Receiving Party, or at such other time as permitted by this Protective Order, provided that the

1 inadvertent failure to so designate does not constitute a waiver of a claim to such designation, and a
2 party may so designate Discovery Material thereafter subject to the protections of this Protective
3 Order.

4 4. Inadvertent (*i.e.*, unintentional) production of documents (including physical objects)
5 subject to work product immunity, the attorney-client privilege, the right of privacy, or any other
6 applicable privilege, shall not constitute a waiver of the immunity or privilege, provided that the
7 Designating Party shall notify the Receiving Party in writing of such inadvertent production
8 promptly after the Designating Party discovers such inadvertent production. After notification is
9 made, the Receiving Party shall immediately return to the Designating Party all copies of such
10 inadvertently produced documents and shall immediately confirm in writing that all electronic
11 copies have been deleted or destroyed. Nothing herein shall prevent the Receiving Party from
12 challenging the propriety of the privilege or immunity designation by promptly filing an appropriate
13 motion with the Court, but the Receiving Party shall not challenge the propriety of the privilege
14 or immunity designation on the grounds that the privilege or immunity was waived by production
15 of the document. If no such challenge is brought, or if any such challenge is unsuccessful, no use
16 shall be made of such documents during deposition or at trial, nor shall they be shown to anyone
17 who was not given access to them prior to the request to return or destroy such documents.
18 Furthermore, if no such challenge is brought, or if any such challenge is unsuccessful, the
19 Receiving Party shall promptly confirm in writing that any analyses, memoranda or notes which
20 were internally generated based upon such inadvertently produced information have been deleted
21 and/or destroyed.

22 5. Subject to paragraph 6 of this Protective Order, "Qualified Persons" having access to
23 Discovery Material designated CONFIDENTIAL in this action are:

24 a. Fenwick & West LLP and its employees whose duties and responsibilities
25 require access to such materials;

26 b(1). Heller Ehrman LLP and its employees whose duties and responsibilities
27 require access to such materials;
28

b(2). Proskauer Rose LLP and its employees whose duties and responsibilities require access to such materials;

c. Goodwin Procter LLP and its employees whose duties and responsibilities require access to such materials;

d. Folger Levin & Kahn LLP and its employees whose duties and responsibilities require access to such materials;

e. For all parties, designated in-house representatives, whose names are listed below and who now have responsibility for maintaining, defending, or evaluating this litigation and paralegal or clerical employees whose duties and responsibilities require access to such materials:

i. For Plaintiff The Regents, the following persons shall have access to Discovery Material designated CONFIDENTIAL by any party, including MTI, Dendron, Boston Scientific and Target: Marty Simpson, University Counsel; Candace Voelker, Director, Office of Technology Transfer; Rita Hao, University Counsel; Bernadette McCafferty, Technology Transfer Officer for the University of California, Los Angeles; and paralegal or clerical employees whose duties and responsibilities require access to such materials (“The Regents Designated Personnel”);

ii. For Defendants MTI and Dendron, the following persons shall have access to Discovery Material designated CONFIDENTIAL by The Regents: Cecily Hines, General Counsel for ev3; Thomas Wilder, Consultant for MTI and former President and CEO of MTI; Matthew Jenusaitis, President, Neurovascular Division; and Brian Strauss, Director of Research and Development for MTI; however, only Cecily Hines shall have access to Discovery Material designated CONFIDENTIAL by Boston Scientific, regardless of whether the Discovery Material is produced by The Regents or Boston Scientific;

iii. For Third Party Defendants, the following persons shall have access to Discovery Material designated CONFIDENTIAL by The Regents or MTI: Paul Sandman and Peter Gafner, in-house counsel for Boston Scientific and Target;

f. Independent consultants and expert witnesses retained by or for the parties and their counsel, including technical consultants and accounting or financial experts.

1 g. Vendors retained by or for the parties for preparing audiovisual aids, *e.g.*,
2 exhibits, models, graphics and videotapes for use in the court room, as well as their staff,
3 stenographic, and clerical employees whose duties and responsibilities require access to such
4 materials, who are not current employees of any party to this litigation or of any direct competitor
5 of any party to this litigation;

6 h. The Court, Court personnel, and stenographic and video reporters engaged in
7 proceedings incident to this action; and

8 i. Outside document copying services, and/or document coding or
9 computerization services.

10 6. Qualified Persons defined in paragraph 5(f) shall be allowed access to Confidential
11 Information only after the Receiving Party has complied with the following procedure:

12 a. Before receiving any Confidential Information the person shall be furnished
13 with a copy of this Protective Order and shall acknowledge, by executing the secrecy agreement
14 form attached hereto as Exhibit A, that he or she has read this Protective Order, understands it, and
15 agrees to be bound by it, and also expressly consents to the jurisdiction of this Court in connection
16 with any proceeding or hearing relating to the enforcement of this Protective Order.

17 b. Outside counsel for the Receiving Party shall furnish a copy of the executed
18 secrecy agreement form to the Disclosing Party, along with a curriculum vitae of the person.

19 c. The Disclosing Party will have seven (7) business days to object in writing to
20 the disclosure of Confidential Information to the particular person. If objection to the disclosure is
21 made within seven (7) business days, the objecting party shall, no later than five (5) business days
22 after objection, petition the Court for an order prohibiting the disclosure at issue. The objecting
23 party shall have the burden of persuasion that disclosure should not be made. If an objection is
24 made, no Confidential Information shall be made available to the particular person until after the
25 Court rules that disclosure can be made, so long as the objection is followed by a timely petition.

26 7. Confidential Information and the substance or contents thereof, including any notes,
27 memoranda or other similar documents relating thereto, shall be used by a Receiving Party solely
28 for the purpose of this action and any appeals therefrom, and shall not be disclosed or used in any

1 other legal proceeding, including any legal proceeding, involving any of the parties. It shall not be
2 made available, or disclosed, or summarized to any persons, including the parties, other than to
3 Qualified Persons, and subject to the restrictions in this Protective Order. Confidential Information
4 shall be maintained by the Receiving Party under the overall supervision of outside counsel.

5 8. Any person in possession of Confidential Information shall exercise reasonably
6 appropriate care with regard to the storage, custody or use of such Confidential Information in order
7 to ensure that the confidential nature of the same is maintained.

8 9. If Confidential Information is disclosed to anyone other than in a manner authorized
9 by this Protective Order, the party responsible for such disclosure must immediately bring all
10 pertinent facts relating to such disclosure to the attention of the Designating Party and make every
11 reasonable effort to retrieve such Confidential Information and to prevent further disclosure.

12 10. When Confidential Information is discussed, quoted or referred to in any deposition,
13 the disclosing party shall ensure that only persons permitted by paragraph 5 of this Protective Order
14 to have access to such Confidential Information are present.

15 11. During the course of preparation for a deposition or testimony, a fact
16 deponent/witness may be shown Confidential Information from another party's Discovery
17 Materials that appears to be authored or received in the normal course of business by the
18 deponent/witness. Use of Confidential Information during a deposition shall be subject to
19 compliance with this Protective Order.

20 12. Any deposition transcript containing Confidential Information shall be marked on
21 the cover as CONFIDENTIAL as appropriate, and shall indicate as appropriate within the transcript
22 what information has been so designated. Whenever possible, the stenographic reporter shall be
23 requested to separate those portions of the transcript containing CONFIDENTIAL information and
24 separately bind them from each other and from the non-confidential portions. However, a
25 Designating Party may also designate any portion or all (if appropriate) of the transcript or exhibits
26 as CONFIDENTIAL with page and line references as to the affected testimony, by so advising the
27 deposition reporter (who shall accordingly indicate the designations in the transcripts or exhibits)
28 and all parties in writing, within thirty (30) days after receipt of the transcript. Until thirty (30)

1 days have passed after the receipt of any transcript, that entire transcript shall be deemed to be
2 CONFIDENTIAL. It is understood that Qualified Persons pursuant to paragraph 5(f) may attend
3 depositions and review deposition transcripts and exhibits. In the event of disagreement about the
4 confidential status of a deposition transcript, it shall be treated as CONFIDENTIAL until this Court
5 rules otherwise.

6 13. Any pleading, paper or other documents filed in this action which contains or
7 discloses Confidential Information shall be filed under seal in accordance with Civil Local Rule 79-
8 5 and Judge Ware's Standing Orders. A Party shall designate information disclosed at a hearing or
9 trial as Confidential Information by requesting the Court, at the time the information is proffered or
10 adduced, to receive the information only in the presence of those persons designated to receive such
11 information and court personnel, and to designate the transcript appropriately.

12 14. Entering into, agreeing to and/or producing or received Confidential Information or
13 otherwise complying with the terms of this Protective Order shall not:

14 a. Operate as an admission by any party that any Discovery Material designated
15 as Confidential Information contains or reflects trade secrets or any other type of confidential or
16 proprietary information entitled to protection under applicable law;

17 b. Prejudice in any way the rights of any party to object to the production of
18 documents it considers not subject to discovery, or operate as an admission to any party that the
19 restrictions and procedures set forth herein constituted adequate protection for any particular
20 information deemed by any party to be Confidential Information;

21 c. Prejudice in any way the rights of any party to object to the authenticity or
22 admissibility into evidence of any document, testimony or the evidence subject to this Protective
23 Order;

24 d. Prejudice in any way the rights of any party to seek a determination by the
25 Court whether any Discovery Material or Confidential Information should be subject to the terms of
26 this Protective Order;

27 e. Prejudice in any way the rights of any party to petition the Court for a further
28 protective order relating to any purportedly Confidential Information;

1 f. Prejudice in any way the rights of any party to petition the Court for
2 permission to disclose or use particular Confidential Information more broadly than would
3 otherwise be permitted by the terms of this Protective Order; or

4 g. Prevent any Designating Party from agreeing to alter or waive the provisions
5 or protection provided for herein with respect to any particular Discovery Material designated as
6 Confidential Information by that party.

7 15. The signing of this Protective Order or failure of a party, at the time it receives
8 Discovery Materials designated as CONFIDENTIAL to challenge or object to the
9 CONFIDENTIAL or designation shall not be deemed a waiver of its right to challenge or object to
10 the designations at any later time. Any party may at any time challenge the designation of any
11 Discovery Materials as CONFIDENTIAL and may request permission to use or disclose
12 information with the CONFIDENTIAL designation other than as permitted, pursuant to this
13 paragraph by serving (by facsimile transmission) a written request upon counsel for the Designating
14 Party at least five (5) business days before the date of the proposed disclosure and by providing
15 telephonic notice of such request on the same date as the facsimile is transmitted. Such request
16 shall specifically identify the Confidential Information, including Bates label, sought to be
17 disclosed and the name, title and function of the person to whom disclosure is desired to be made.
18 The Designating Party shall thereafter respond to the request in writing within five (5) business
19 days after receipt of same. Absent good cause shown, a failure to respond within such time shall
20 constitute consent to the request. If, where consent has been withheld, the parties are subsequently
21 unable to agree on the terms and conditions of disclosure, the matter may be submitted to the Court
22 for resolution by the party seeking disclosure. Disclosure shall be postponed until a ruling has been
23 obtained from the Court.

24 16. All provisions of this Protective Order restricting the use of information obtained
25 during discovery shall continue to be binding on the parties and all persons who have received
26 information under this Protective Order, after the conclusion of this action, including all appeals,
27 until further Order of the Court, unless the parties agree otherwise in writing. Any and all originals
28 and copies of Discovery Materials designated CONFIDENTIAL shall, at the request of the

1 Designating Party, be returned to the party within sixty (60) days after a final judgment herein or
2 settlement of this action, or, at the option of the Designating Party, destroyed in that time frame,
3 except that outside counsel for each party may maintain in its files one copy of each pleading filed
4 with the Court, each deposition transcript together with the exhibits marked at the deposition, one
5 copy of each piece of correspondence, and documents constituting work product which were
6 internally generated based upon or which include Confidential Information. In the event that
7 outside counsel maintains such documents, it shall not disclose material maintaining any type of
8 Confidential Information to any third party absent subpoena or court order. Upon receipt of any
9 subpoena for such information, the party receiving the subpoena shall immediately notify outside
10 counsel for the Designating Party of the subpoena so that the latter may protect its interests. In the
11 event that documents are returned to or destroyed at the request of the Designating Party, the other
12 party or its outside counsel shall certify in writing that all such documents have been returned or
13 destroyed, as the case may be.

14 17. Third parties who produce information in this action may avail themselves of the
15 provisions of this Protective Order and Discovery Material produced by third parties shall be
16 treated by the parties in conformance with this Protective Order.

17 18. Until such time as this Amended Protective Order has been entered by the Court, the
18 parties agree that upon execution by the parties, it will be treated as though it had been "So
19 Ordered."
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Respectfully submitted,

DATED: November 13, 2006

By: _____/S/_____

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THE REGENTS OF THE UNIVERSITY OF
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DATED: November 13, 2006

By: _____/S/_____

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DATED: November 13, 2006

By: _____/S/_____

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SCIENTIFIC CORPORATION and TARGET
THERAPEUTICS, INC.

1
2 PURSUANT TO STIPULATION, IT IS SO ORDERED.
3

4
5 Dated: November 13
6 _____, 2006

By: _____



The Honorable Richard Seeborg
United States Magistrate Judge

EXHIBIT A**SECURITY AGREEMENT**

I, _____, state:

1. I reside at _____

2. I have read the Stipulated Amended Protective Order executed by counsel for the parties on _____, 2006 on behalf of The Regents of the University of California, Micro Therapeutics, Inc., Dendron GmbH, Boston Scientific Corporation and Target Therapeutics, Inc., in the preparation and conduct of the action entitled *The Regents of the University of California v. Micro Therapeutics, Inc., et al.*, Civil Action No. C 03 05669 JW (RS), United States District Court, Northern District of California.

3. I am fully familiar with and agree to comply with and be bound by the provisions of said Order. I understand that I am to retain all copies of any designated Confidential Information in a secure manner, and that all copies are to remain in my personal custody until I have completed my assigned duties, whereupon the copies and any writings prepared by me containing any designated Confidential Information are to be returned to counsel who provided me with such material. I will not divulge to persons other than those specifically authorized by said Order, and will not copy or use except solely for the purpose of these actions, any information obtained pursuant to said Order, except as provided in said Order. I agree to submit to the jurisdiction of the Court for purposes of enforcement of the Order.

I state under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed on this ____ day of _____, 2006.

ATTESTATION OF SIGNATURE

(N.D. Cal. General Order 45)

I, Gabrielle E. Bina, hereby attest that concurrence in the filing of the following document:

STIPULATED AMENDED PROTECTIVE ORDER

has been obtained from all of the signatories.

HELLER EHRMAN LLP,

DATED: November 13, 2006

By: /S/ Gabrielle E. Bina

Attorneys for Defendants/Counterclaimants and
Third Party Plaintiffs MICRO THERAPEUTICS,
INC. and DENDRON GmbH